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AF/270

/SB/21 (6-95) (modified)
Approved for use throug.. ש/30/98, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

001/PTO Rev 00/95	U.S. Department of Commerce Patent and Trademark Office

Application Number	09/351,723
Filing Date	7/12/99
First Named Inventor	Robert C. Wohlsen
Group Art Unit	2641
Examiner Name	Azad, A.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	28	Attorney Docket Number	1094

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ENCLOSURES   *(check all that apply)*

[X] Fee Transmittal Form	[ ] Assignment Papers *(for an Application)*	[ ] After Allowance Communication to Group
[ ] Fee Attached	[ ] Drawing(s)	[ ] Appeal Communication to Board of Appeals and Interferences
[X] Amendment / Response	[ ] Licensing-related Papers	[ ] Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
[X] After Final	[ ] Petition Checklist and Accompanying Petition	[ ] Proprietary Information
[ ] Extension of Time Request	[ ] To Convert a Provisional Application	[ ] Status Letter
[ ] Express Abandonment Request	[ ] Power of Attorney, Revocation Change of Correspondence Address	[X] Additional Enclosure(s) *(please identify below)*
[ ] Information Disclosure Statement/PTO/SB/08B	[ ] Terminal Disclaimer	
[ ] Certified Copy of Priority Document		Postcard
[ ] Response to Missing Parts/ Incomplete Application		
[ ] Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Charles E. Gotlieb
Signature	*Charles E. [signature]*
Date	November 28, 2001

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 28, 2001

Typed or printed name	Charles E. Gotlieb		
Signature	*Charles E. [signature]*	Date	November 28, 2001

PTO/SB/17 (modified) (09/00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL
for FY 2002

TOTAL AMOUNT OF PAYMENT ($) 0

Complete if Known

Application Number	09/351,723
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METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 07-1738

Deposit Account Name: Charles E. Gotlieb

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17.

☐ Applicant Claims small entity status See 37 CFR 1.27

2. ☒ **Payment Enclosed**

☐ Check ☒ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims	22 - 22 =	X =	
Independent Claims	3 - 3 =	X =	
Multiple Dependent		=	

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) ($)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting a publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($)

SUBMITTED BY

Complete *(if applicable)*

Name (Print/Type)	Charles E. Gotlieb	Registration No. (Attorney/Agent)	38,164	Telephone	650-328-0100
Signature	*Charles E. Gotlieb*			Date	11/28/2001

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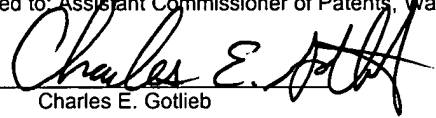
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IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Robert C. Wohlsen et. al.
SERIAL NO:	09/351,723
FILING DATE:	7/12/99
TITLE:	METHOD AND SYSTEM FOR IDENTIFYING A USER BY VOICE
GROUP ART UNIT:	2641
ATTY DOCKET NO:	1094
EXAMINER:	Azad, A.

THE HONORABLE COMMISSIONER OF PATENTS, WASHINGTON DC, 20231

AMENDMENT B UNDER 37 C.F.R. 1.111

SIR:

Responsive to the Official Action mailed 8/28/2001:

please amend the above-referenced application as follows: